

Allowance Transfer

This form is required to record a transfer of allowances under the NOx Budget Program from one account to another in the NOx Allowance Tracking System (NATS). Upon receipt of a completed allowance transfer form, EPA will move the requested allowances from the transferor's account to the transferee's account. The Authorized Account Representative (AAR) should notify all persons who have an ownership interest in the allowances held in an account every time he or she makes a NOx Budget Program submittal.

STEP 1
Enter account
information about the
transferor (in whose
account the allowances
currently reside).

STEP 2
Enter account
information about
the transferee (into
whose account the
allowances are being

transferred).

	Transferor:	
١	NATS Account Number	
	AAR Name	AAR ID Number
	Address	
	Phone Number	
I	Fax Number	
1	Transferee:	
	NATO Associat Niverban	
	NATS Account Number	
	AAR Name	AAR ID Number
	AVII Name	AAR ID Number
	Address	
	Phone Number	
	Phone Number	
	Fax Number	

STEP 3
Complete Step 4. The transferor AAR should read the certification, print name, and sign and date.

I am authorized to make this submission on behalf of the owners and operators of the budget source (or in the case of general accounts, the parties with an ownership interest in the allowances held in the account) and I hereby certify under penalty of law, that I have personally examined the foregoing and am familiar with the information contained in this document and all attachments, and that based on my inquiry of those individuals immediately responsible for obtaining the information, I believe the information is true, accurate and complete. I am aware that there are significant penalties for submitting false information, including possible fines and imprisonment.

Transferor:	
Name	
Signature	Date

NATS Account Number (from page 1)

					s	tart	Νι	ımb	er	(12	dię	gits)							En	d N	lum	be	r (1.	2 d	igits)				Γotal
STEP 4	1	ç)	9	9	-	5	1		2	3	4	5	6	7	1	9	9	ę)	-	5	1	2	3	3 4	5	5 7	7	0	4
List the allowances to be transferred by serial																ı															
number (see example).		*	*	*		* -	*	*	*	*		*	*	*	*	*		*	*	* .	_ *	*		*	*	*	*	*		*	
You may specify single allowances or a series		*	*	*		* _	*	*	*	*		*	*	*	*	*		*	*	* .	_ *	*		*	*	*	*	*		*	
of allowances. In the		*	*	*		* _	*	*	*	*		*	*	*	*	*		*	*	* .	_ *	*	:	*	*	*	*	*		*	
"Total" column, enter the total number of		*	*	*		* _	*	*	*	*		*	*	*	*	*		*	*	* .	_ *	*		*	*	*	*	*		*	
allowances to be transferred. Enter each		*	*	*		* _	*	*	*	*		*	*	*	*	*		*	*	* .	_ *	*		*	*	*	*	*		*	
separate series or series with a different		*	*	*		* _	*	*	*	*		*	*	*	*	*		*	*	*	_ *	*		*	*	*	*	*		*	
use date on a separate line.		*	*	*		* _	*	*	*	*	i	*	*	*	*	*		*		*	_ *	*		*	*	*	*	*		*	
		*	*	*		* _	*	*	*	*	i	*	*	*	*	*		*		*	_ *	*		*	*	*	*	*		*	
EPA will not transfer allowances unless the		*	*	*		* _	*	*	*	*		*	*	*	*	*		*		*	_ *	*		*	*	*	*	*		*	
allowances are in the transferor's account		_	_					_					*	*		*				*											
at the time EPA				•		* -				-						*					_ *	•					*	•			
processes this request.		*	*	•		* -	*	*	*	-		•	*	*	•			*	•			*		*	•	*	*	*			
Further Details: Enter the Start Number and End Number in the		*	*	*		* _	*	*	*	*	•	*	*	*	*	*		*	*	* .				*	*	*	*	*		*	
appropriate columns; EPA recommends that you		*	*	*		* _	*	*	*	*		*	*	*	*	*		*	*	* .	- *	*		*	*	*	*	*		*	
select a start number or end number that represents the start or the end of a		*	*	*		* _	*	*	*	*		*	*	*	*	*		*	*	* .	_ *	*		*	*	*	*	*		*	
pre-existing series in the transferor's account, so that series of allowances		*	*	*		* -	*	*	*	*		*	*	*	*	*		*	*	* .	- *	*		*	*	*	*	*		*	
are not segregated unnecessarily, as would		*	*	*		* -	*	*	*	*		*	*	*	*	*		*	*	* .	- *	*		*	*	*	*	*		*	
occur if you chose an intermediate start or end number.		*	*	*		* -	*	*	*	*		*	*	*	*	*		*	*	* .	_ *	*		*	*	*	*	*		*	
Enter the number of		*	*	*		* _	*	*	*	*		*	*	*	*	*		*	*	* .	- *	*		*	*	*	*	*		*	
allowances to be transferred in the "Total" column. Verify the		*	*	*		* -	*	*	*	*		*	*	*	*	*		*	*	* .	_ *	*		*	*	*	*	*		*	
accuracy of your entries by using one of the		*	*	*		* _	*	*	*	*	i	*	*	*	*	*		*	*	* .	_ *	*		*	*	*	*	*		*	
following simple equations: Start Number = End Number - Total + 1		*	*	*		* _	*	*	*	*	:	*	*	*	*	*		*	*	* .	_ *	*		*	*	*	*	*		*	
<i>or</i> End Number =		*	*	*		* _	*	*	*	*	:	*	*	*	*	*		*	*	* .	_ *	*		*	*	*	*	*		*	
Start Number + Total - 1		*	*	*		* _	*	*	*	*	i	*	*	*	*	*		*	*	* .	_ *	*		*	*	*	*	*		*	

Submission Information

If you are transferring allowances for purposes of compliance with NOx Budget Program requirements for a given control period, this form must be postmarked no later than the Allowance Transfer Deadline (December 31 of the year in which the control period falls).

U.S. Mail:

U.S. Environmental Protection Agency Acid Rain Program (6204N) Attention: NOx Allowance Tracking System 1200 Pennsylvania Ave., N.W. Washington, D.C. 20460 Overnight Mail:
U.S. Environmental Protection Agency
Acid Rain Program (6204N)
Attention: NOx Allowance Tracking System
633 3rd Street, N.W.
Washington, D.C. 20001

If you have any questions, please call the Acid Rain Hotline at 202-564-9620.